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ASI Findings: Trends from 2015 to 2018

# NCs per topic raised in the RSPO program 1/1/2015 to 10/10/2018

RSPO P&C Certificate Holder NC Data Analysis

Percentage NCs per principle per year
**RSPO P&C Certificate Holder NC Data Analysis**

Average number and type of NCs in audits witnessed and not witnessed by ASI

- **Witnessed Regular**
  - 2015: 2.00
  - 2016: 3.00
  - 2017: 4.00

- **Witnessed Regular**
  - 2015: 5.00
  - 2016: 6.00
  - 2017: 7.00

**ASI Social Competence Center**

- **Established in September 2017** – team of 3 based in UAE, India and South Africa
- **To provide ASI clients assurance that social requirements in Standards are implemented effectively and uniformly**
  - **Build capacity** among assessors – oversight during assessments, subsequent **calibration** and **training**
  - Address internal processes within ASI – social auditing procedure, **risk indexes**, chronological **NGO allegations** and **media exposé**
  - Cooperation with Scheme owners – improve **auditability** of social requirements, develop **auditing protocols** and **white papers**
- **To move from reactive to proactive** – build **trust**
Appraise and apply GIS tools in auditing

Objective:

To develop a GIS workflow that is aimed at making audits more effective and efficient

What Have We Done:

• On Site Training with Auditors from CABs and Scheme Owners on GIS usage during audit
• Developed the GIS self-starter kit

ASI Integrity Services

Four broad areas to address integrity challenges:

• Forensic examinations into areas of illegal activity
• Fraud Risk Assessments and Fraud Gap Analysis to identify weaknesses in policies and procedures
• Guidance in the development of whistleblowing, business partner due diligence and other systems to ensure ethical business practices
• Training for leadership and staff on fraud awareness and prevention techniques
Thank You